

CURRENT STATUS OF WORK IN AUDIT PLAN

AUDIT	STATUS
Corporate & Cross-Cutting	
Annual Governance Statement & Statement Support	Ongoing
Asset Management	Not started
Business Continuity and Emergency Planning	In progress
Data Quality	Not started
Document Management	Not started
Governance	Not started
Health & Safety	In progress
Information Security	Not started
ICT Governance & Cyber Security	Not started
ICT Access Controls (follow-up)	In progress
Freedom of Information	In progress
Overtime	Not started
PCI DSS	In progress
Performance Management	In progress
Procurement - Waivers	In progress
Procurement – Breaches	In progress
Project Management – Miscellaneous Project Support	Ongoing
Project Management – ASC Project Support	Ongoing

AUDIT	STATUS
Register of Interests	In progress
Scrutiny	Not started
Use of Social Media	Not started
Whistleblowing (support)	Ongoing
Main Financial Systems	
Cashiers and Income Management	In progress
Council Tax & NNDR	Not started
Council Tax Support and Housing Benefits	Not started
Debtors	In progress
Housing Rents	Deferred (September)
Main Accounting System	High Assurance
Ordering and Creditor Payments	Not started
Payroll	Not started
Treasury Management	Not started
Health, Housing and Adult Social Care	
Better Care Fund	Not started
Community Safety Plan	Not started
Deprivation of Liberty Assessments	In progress
Direct Payments	In progress
Housing Performance	Not started
Public Health	Not started

AUDIT	STATUS
Savings Plans (HHASC)	In progress
Supporting Vulnerable People	In progress
Economy and Place	
Bus Pass Usage Claims	In progress
Car Parking	Not started
Procurement of Sub-Contractors	In progress
Property Income	In progress
Section 106 Agreements	Not started
Trading Standards	Not started
Taxi Licensing (addition to plan)	In progress
Children, Education and Communities	
Contributions to Care	In progress
High Needs SEN	In progress
Regional Adoption Agency	In progress
Schools: Stockton-on-Forest Primary School	Fieldwork complete